### Ontological models as functors

Andru Gheorghiu Chris Heunen





arXiv:1905.09055

# (Finite-dimensional) Quantum theory

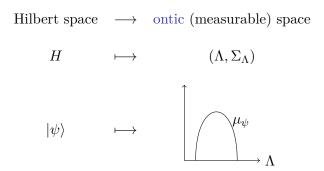
state	unit vector in complex Hilbert space	$ \psi\rangle \in H, \  \psi\rangle\ ^2 = 1$
transformation	unitary operator	$uu^\dagger=u^\dagger u=1$
composition	tensor product	$H_{AB} = H_A \otimes H_B$
observation	orthonormal basis	$\{ i\rangle\}, \langle i\mid j\rangle = \delta_{ij}$

Are quantum states real?

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Hilbert space 
$$\longrightarrow$$
 ontic (measurable) space 
$$H \longmapsto (\Lambda, \Sigma_{\Lambda})$$

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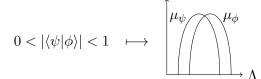
state		probability measure
$ \psi angle$	$\longmapsto$	$\mu_{\psi} \colon \Sigma_{\Lambda} \to [0,1]$

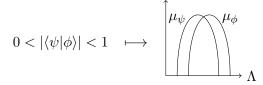
state probability measure 
$$|\psi\rangle \longmapsto \mu_{\psi} \colon \Sigma_{\Lambda} \to [0,1]$$
 measurement response function 
$$\{|i\rangle\}_{1 \leq i \leq \dim(H)} \longmapsto \xi_{i} \colon \Lambda \to [0,1]$$

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$$\int_{\Lambda} \xi_{i}(\lambda) d\mu_{\psi}(\lambda) = |\langle i \mid \psi \rangle|^{2}$$
 
$$\forall \lambda \in \Lambda \colon \sum_{i=1}^{\dim(H)} \xi_{i} = 1$$





Epistemic model

$$0 < |\langle \psi | \phi \rangle| < 1 \quad \longmapsto \quad \stackrel{\uparrow}{\biguplus} \mu_{\phi}$$

Epistemic model

"Quantum state is state of knowledge about underlying ontic reality"

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Epistemic model (otherwise ontic model)

"Quantum state is state of knowledge about underlying ontic reality"

[Leifer arXiv:1409.1570]

Pusey-Barrett-Rudolph arXiv:1111.3328]
Preparation independence:  $\{|\psi\rangle\otimes|\phi\rangle\}_{\psi\in H_A,\phi\in H_B}\mapsto (\Lambda_A\times\Lambda_B,\Sigma_{\Lambda_A}\otimes\Sigma_{\Lambda_B})$   $\mu_{\psi\otimes\phi}=\mu_{\psi}\otimes\mu_{\phi}$ 

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Leifer-Maroney arXiv:1208.5132]

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$$\Lambda = H 
 u|\psi\rangle = \psi \implies \mu_{u\psi}(u\lambda) = \mu_{\psi}(\lambda) 
 \forall |\psi\rangle, |\phi\rangle : |\langle\psi|\phi\rangle|^2 > 0 \iff \int_{\text{supp}(\mu_{\psi})} d\mu_{\phi}(\lambda) > 0$$

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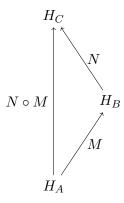
► [Gheorghiu-Heunen arXiv:1905.09055]: one approach to rule them all

#### Category theory

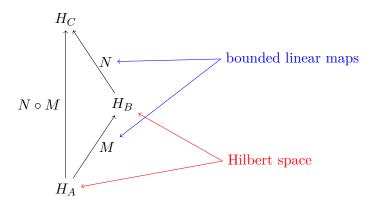
Explicitly invented to translate structure between different areas:

- ightharpoonup Algebraic topology: topology  $\mapsto$  groups
- ightharpoonup Algebraic geometry: varieties  $\mapsto$  schemes
- ightharpoonup Logic: theories  $\mapsto$  models
- ightharpoonup Computer compilers: high-level language  $\mapsto$  assembly
- ightharpoonup Complexity theory: algorithm  $\mapsto$  function
- ightharpoonup Semantics: computer programs  $\mapsto$  mathematical model
- ightharpoonup Physics: physical systems  $\mapsto$  mathematical abstractions

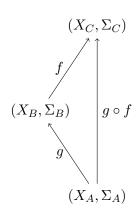
Here: quantum physics  $\mapsto$  statistical physics



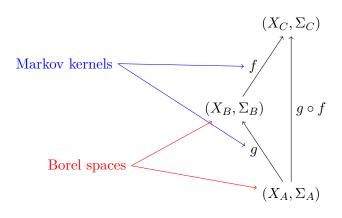
 $\mathbf{FHilb}$ 



 $\mathbf{FHilb}$ 



BoRel



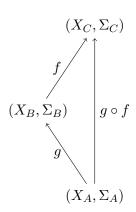
BoRel

#### Borel space:

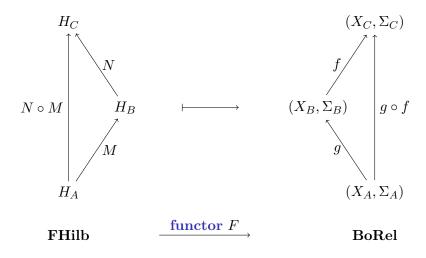
topological measurable space

#### Markov kernels:

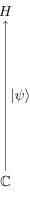
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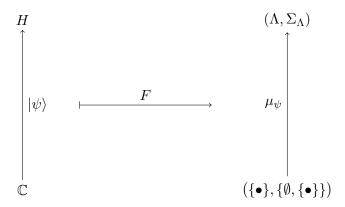
**BoRel** 



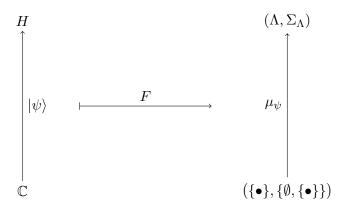
## States



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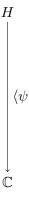


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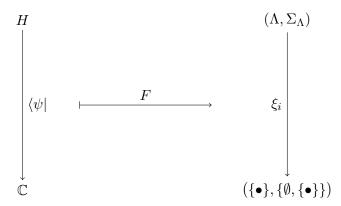


$$F(|\psi\rangle)(\bullet, -) \colon \Sigma_{\Lambda} \to [0, 1]$$
 probability measure

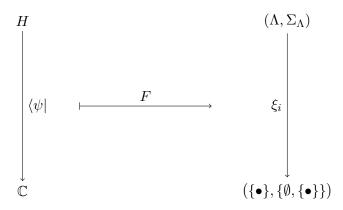
# Effects



## Effects



#### **Effects**



$$F(\langle \psi |)(-, \{ \bullet \}) \colon \Lambda \to [0, 1]$$
 response function

# Operational category

- ightharpoonup is monoidal ( $\otimes$ ,I)
- ▶ has distinguishing object 2
- $\blacktriangleright$  has set  $\Omega$  of elements called probabilities
- ▶ has evaluation  $\langle \rangle \colon \mathbf{C}(I,2) \to \Omega$

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#### **FHilb** is operational:

- $2 = \mathbb{C}^2, \ \Omega = [0, 1]$

#### **BoRel** is operational:

- $2 = (\{0,1\}, \{\emptyset, \{0\}, \{1\}, \{0,1\}\}), \Omega = [0,1]$
- $f: I \to 2, \langle f \rangle = f(\bullet, \{0\}) \text{ if } f(\bullet, \{0\}) = 1 f(\bullet, \{1\})$

# Operational model

is functor  $F\colon \mathbf{C}\to \mathbf{D}$  between operational categories satisfying:

$$F(I) = I$$

$$F(2) = 2$$

$$\langle F(\eta) \rangle = \langle \eta \rangle$$

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For C = FHilb and D = BoRel:

$$\int_{\Lambda} \xi_i(\lambda) d\mu_{\psi}(\lambda) = |\langle i|\psi\rangle|^2$$

$$F(|\psi\rangle) = \mu_{\psi}$$

$$F(\langle i|) = \xi_i$$

# Distinguishability

If **C** operational category with  $\Omega = [0, 1]$ ,  $\Psi \subseteq \mathbf{C}(I, A)$  collection of states  $\chi \colon A \to 2$  measurement,

 $\chi$  distinguishes  $\psi$  from  $\Psi$  when

$$\sum_{\phi\in\Psi,\phi\neq\psi}\langle\chi\circ\phi=0$$

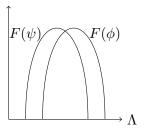
# Epistemic operational models

Operational model is epistemic when there are distinct states  $\psi \neq \phi \colon I \to A$  such that  $F(\psi)$  and  $F(\phi)$  are not distinguishable

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i.e. "distributions overlap":



## Operational vs ontological

- ▶ operational model is more restrictive
- composition needs to be preserved
- trivial ontic models can be constructed
- ▶ not clear whether ontic operational models exist at all

### No-go results: Pusey-Barrett-Rudolph

No epistemic ontological model when: preparation independence

$$\{|\psi\rangle\otimes|\phi\rangle\}_{\psi\in H_A,\phi\in H_B}\mapsto (\Lambda_A\times\Lambda_B,\Sigma_{\Lambda_A}\otimes\Sigma_{\Lambda_B})$$
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Monoidal operational model implies this

So cannot have monoidal epistemic operational model!

### No-go results: Leifer-Maroney

No maximally epistemic ontological model

$$\forall |\psi\rangle, |\phi\rangle \colon |\langle\psi|\phi\rangle|^2 = \int_{\operatorname{supp}(\mu_{\phi})} d\mu_{\psi}(\lambda)$$

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This is implied when operational model preserves duality:

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So cannot have duality preserving operational model!

#### No-go results: Aaronson-Bouland-Chua-Lowther

No symmetric epistemic ontological model

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Implied by equivariance of operational model:

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$$M \cdot U \text{ measurable}$$

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So cannot have equivariant operational model!

# What about a "go" result?

#### Borel space:

topological measurable space

#### signed Markov kernels:

$$f: X_A \times \Sigma_B \to [-1, 1]$$

 $f(-,W): X_A \to [-1,1]$  bounded measurable  $f(x,-): \Sigma_B \to [-1,1]$  quasi-probability measure

 $(X_C, \Sigma_C)$  $(X_B, \Sigma_B)$  $(X_A, \Sigma_A)$ 

**QBoRel** 

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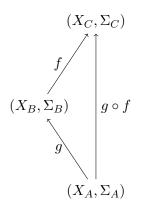
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 $\mathbf{Q}\mathbf{B}\mathbf{o}\mathbf{R}\mathbf{e}\mathbf{l}$ 

- ▶ Possible! In fact monoidal (in odd dimension)!
- ► Wigner functions
- quasi-probabilistic epistemic model [Ferrie arXiv:1010.2701]

#### Summary

- ▶ Unify ontological interpretations
- ► Many questions
- ► Can have operational model at all?
- ▶ What about target category of *quantum measures*?

$$\mu(U \cup V) \neq \mu(U) + \mu(V)$$
 
$$\mu(U \cup V \cup W) = \mu(U \cup V) + \mu(V \cup W) + \mu(W \cup U) - \mu(U) - \mu(V)$$